PHOTOGRAPHIC QUALITY IMAGING WITH HP THERMAL INK JET

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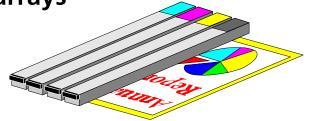




Ink Jet Printing

success factors for the next decade

- Availability of (high-quality) digital source material provides opportunities for new color imaging markets
 - desktop film, negative, & slide scanners (35mm & APS)
 - digital photographic printing
 - Internet printing
 - digital proofing
- Traditional strengths of laser printers (media independence, throughput, low cost/page) are becoming less relevant in color imaging applications
- Unlike other printing technologies, ink jet offers low-cost, high-quality, high-throughput solutions from the desktop to large format
- Publish-on-demand of color documents and new applications may drive development of practical pagewide arrays





Ink Jet Printing

HP's thermal ink jet

invented in 1979 at Hewlett-Packard Laboratories

(Canon invented "BubbleJet" at the same time)

- first product: HP ThinkJet Printer in 1984
- high operating frequency
- high orifice density
- energetic drop ejection purges trapped gases
- integrated power & interconnect electronics
- inks & ink delivery systems for imaging solutions from the desktop to large format







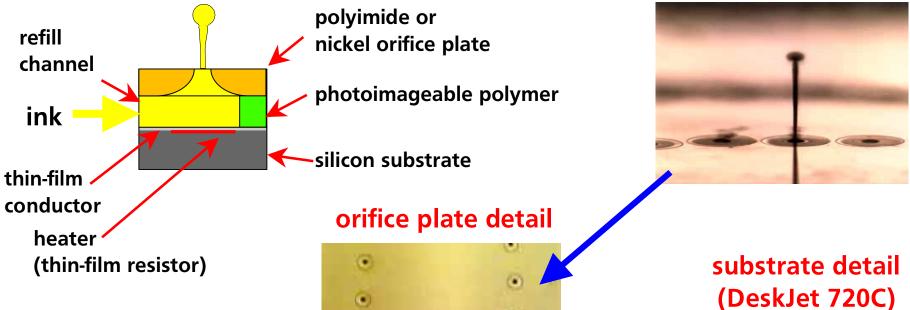


...and, no moving parts except the ink itself



Thermal Ink Jet

printhead detail -

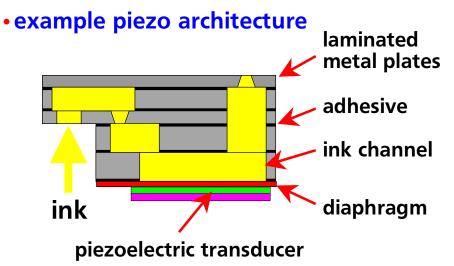


 staggered orifices compensate for firing order and allow accurate dot placement at high drop frequencies barrier

heater refill channel

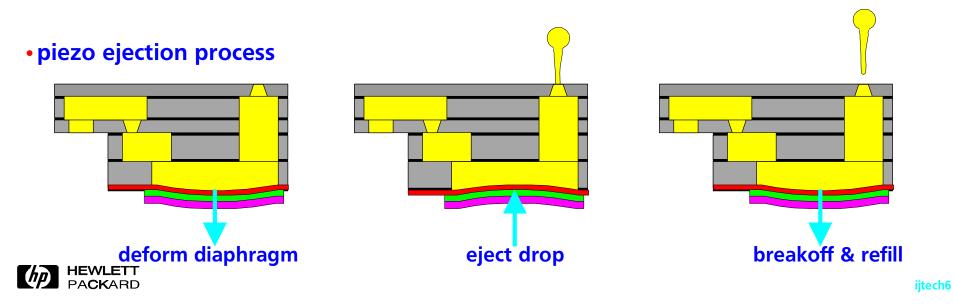


Ink Jet Printing



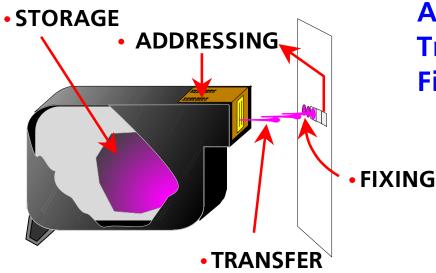
piezo ink jet

- piezo is NOT a new technology!
 lower orifice density than TIJ drive & interconnect electronics are not integrated with printhead structure high chamber/drop volume ratio
 significant quantity of ink required
 - for printhead maintenance drop ejection process is less energetic than TIJ
 - mechanical elements limit frequency
- exaggerated claims for life & ink versatility



Printing Process

elements of marking



Storage & Delivery of ink Addressing of pixels Transfer of ink to print medium Fixing of ink on print medium

ADDRESSING

resolution

dots/pixel

colors/pixel

drop volume

multiplexing

TRANSFER

- drop placement
- consistent drop volume

FIXING

- color
- spot density
- spot size
- spot shape
- color bleed
- ink chemistry
- media chemistry
- drying
- media types

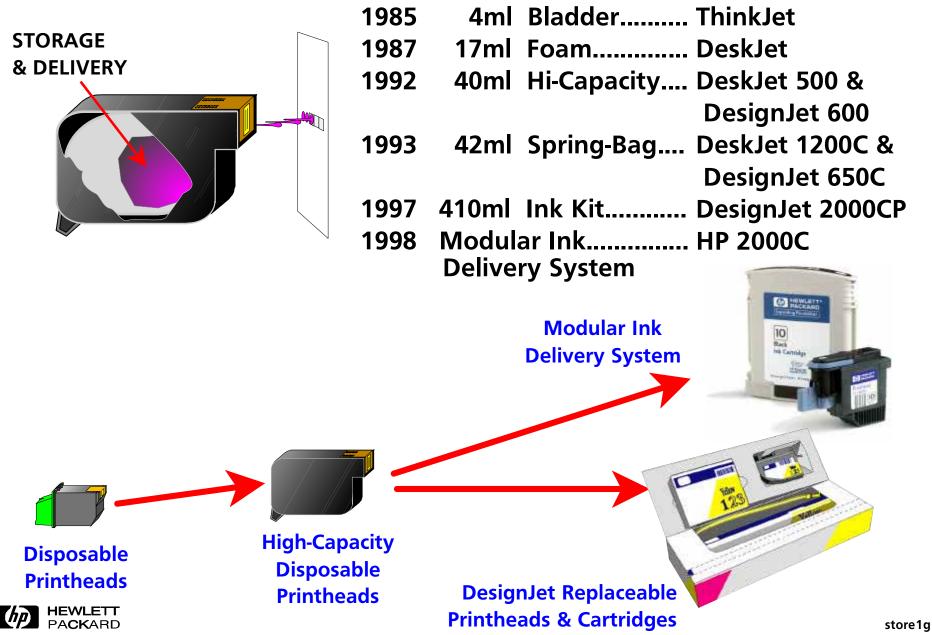
STORAGE

- usable quantity
- pressure regulation
- material compatibility



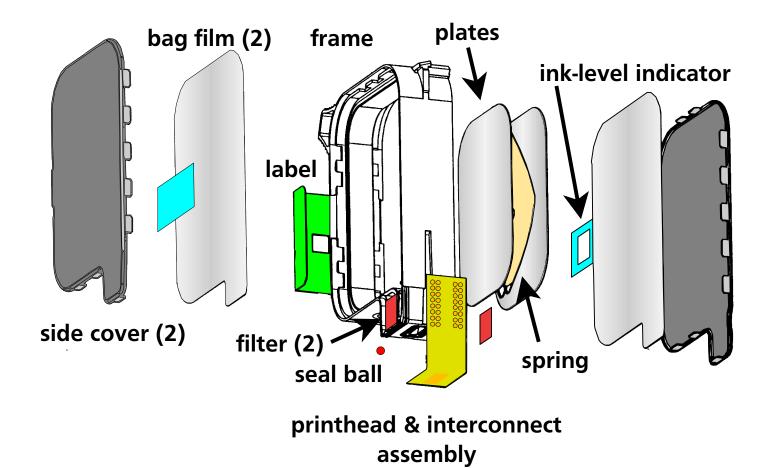
Ink Storage & Delivery

ink delivery system evolution



Ink Storage & Delivery

inside a print cartridge





Ink Storage & Delivery

Modular Ink Delivery System

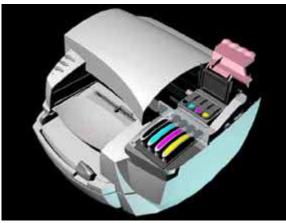
introduced on the HP2000C

printheads separated from ink cartridge

- 4 high-capacity ink cartridges CMY: 28ml
- K: 26.6ml & 65ml 4 long-life printheads CMY: ~24000 pages K:~12000 pages
- unique pressurization system maintains constant supply of ink to printheads through flexible tubes





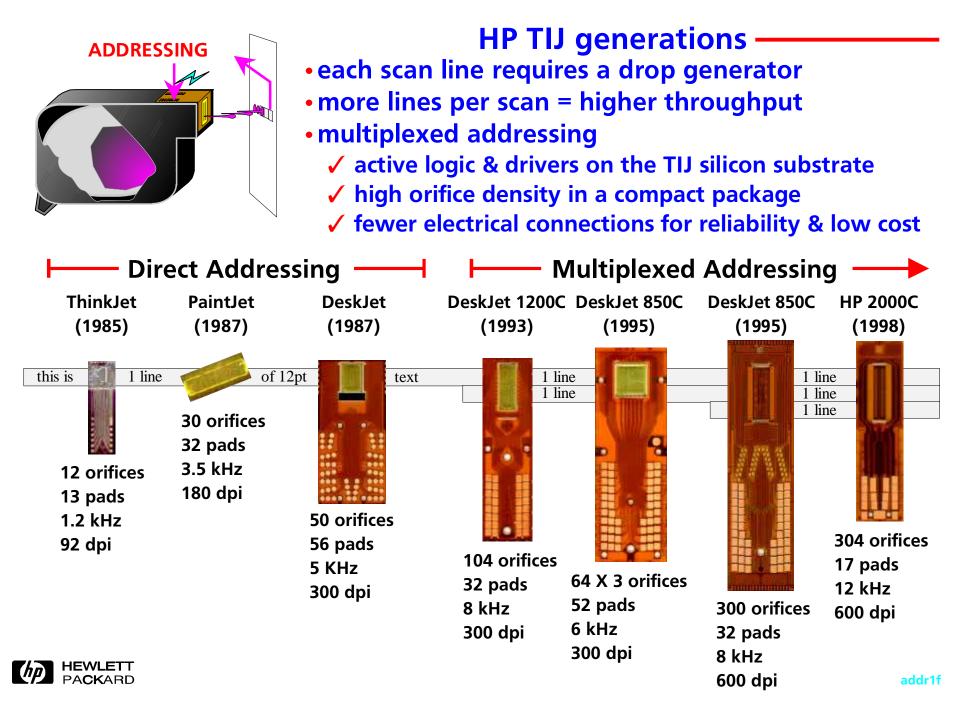


• "smart chip" in printhead & ink cartridge

- uniquely identifies each component monitors ink use monitors printhead operation signals low ink ink out printhead e
- signals low ink, ink out, printhead end-of-life
- only components that are no longer usable are replaced

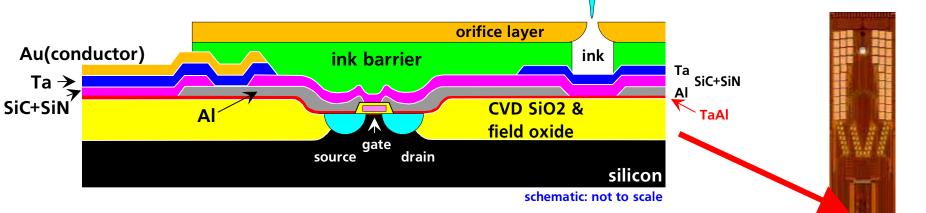


Addressing



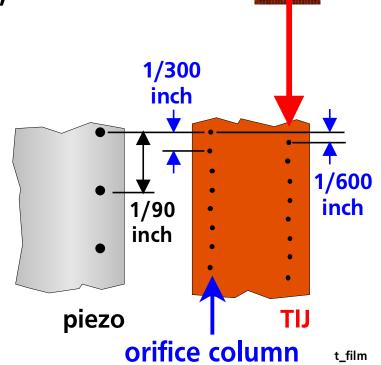
Addressing

integrated electronics & orifice density

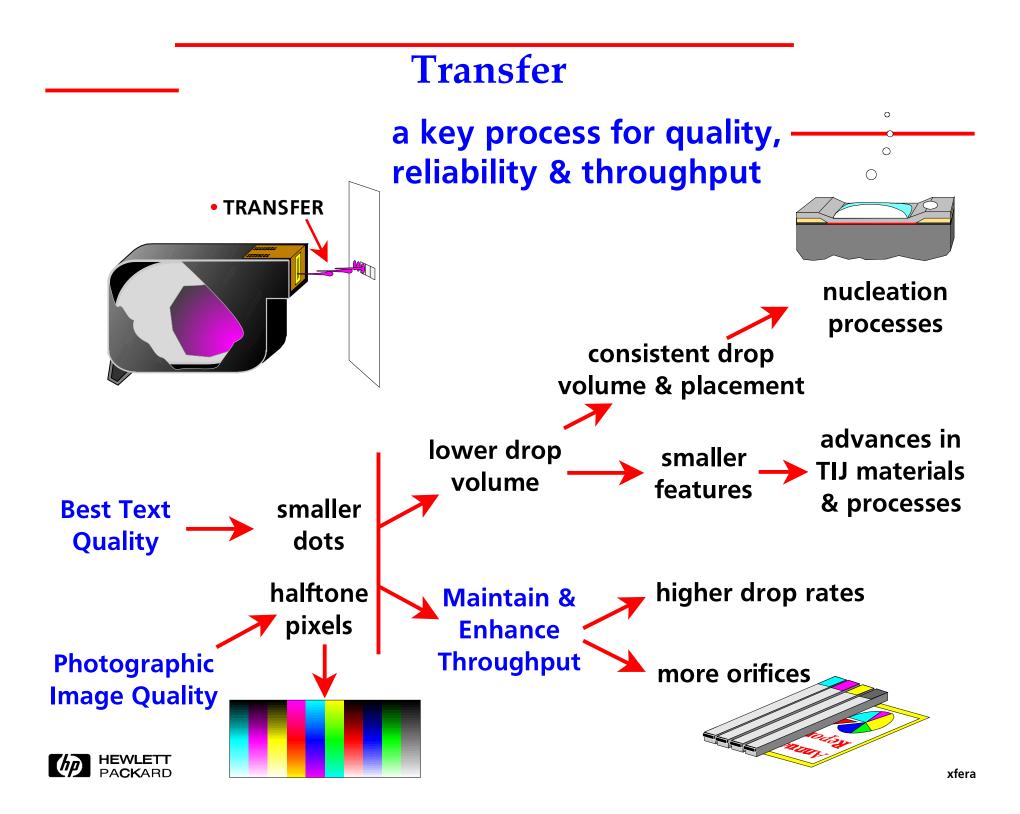


- TIJ silicon & drop generator structure
 ✓ power electronics, orifice addressing,
 - & drop generators on a single chip
 - high orifice packing density for high throughput (fewer passes needed)
- TIJ orifices spaced 300/inch in a single column 600 dpi printhead uses two offset columns
 current piezo ink jets: only 90 orifices/inch

 feasible: more than 600 TIJ orifices/inch
- in a single column

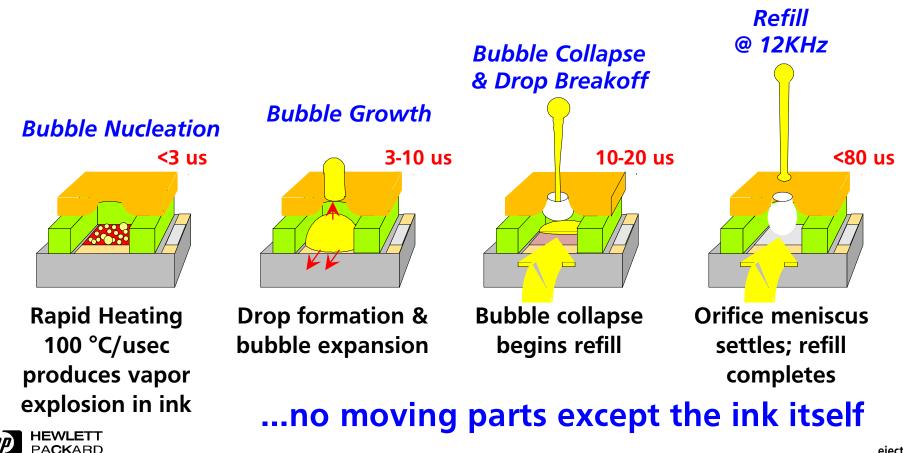






TIJ drop ejection process

the TIJ drive bubble is the result of a superheated vapor explosion in a film of ink over the heater resistor < 0.1 um thick
most of the ink is not heated at all: heat penetrates < 1 micrometer
TIJ cannot "boil" the ink - physically, *boiling cannot occur* when the ink is heated at 100 million °C per second for less than 3 microseconds!



Elements of Bubble Nucleation

understanding nucleation is necessary to deliver consistency in drop ejection

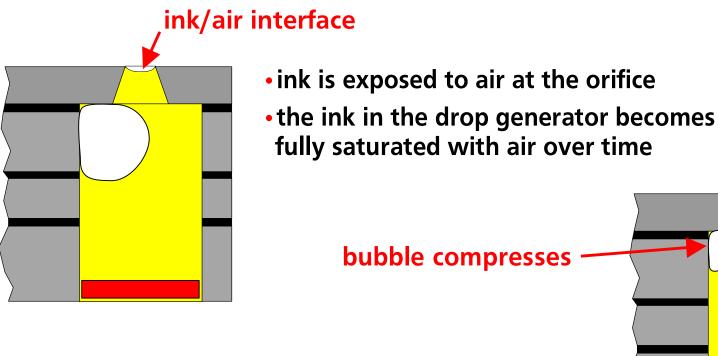
Novosibirsk, Siberia, Russia \bigcirc **Liquid Composition Liquid Density Liquid Viscosity Superheat Limit Surface Tension** Vapor Enthalpy Temperature Static **Vapor Density** Distribution **Bubbles Heating Rate** Thermal Diffusivity Surface HP supports fundamental Roughness research on the physics of bubble nucleation



Near the Institute of Thermophysics

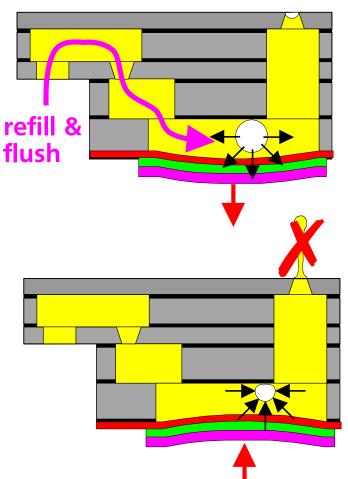
trapped air bubbles

- trapped air bubbles are a major cause of failure to eject droplets
- bubbles nucleate at sharp edges, rough surfaces, and on particles suspended in the ink
- bubbles can appear anywhere in the ink delivery system



 bubbles act like a spring to absorb actuator energy: this can prevent drop ejection

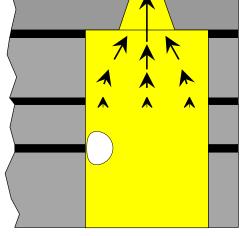


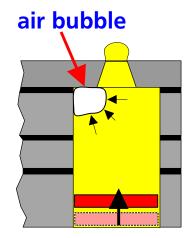


piezo & air bubbles

- intake stroke of diaphragm creates a low pressure that contributes to trapped bubble formation & growth
- a special flush cycle is required to pump sufficient ink through the printhead to flush trapped air bubbles
- small motion of piezo diaphragm pressurizes ink inside a large chamber air bubbles absorb the energy of the diaphragm stroke

 very low fluid velocities except near orifice are ineffective at flushing trapped bubbles during normal operation



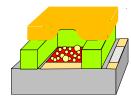


TIJ & air bubbles: robust from the beginning

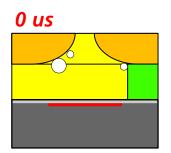
air bubble

flushed out

- In 1978, scientists at HP Laboratories investigating new printing technologies recognized that trapped air bubbles were a major source of unreliability in piezo ink jet
- Their solution: find a way to place the energy source right at the orifice
 - a small chamber with a large-displacement "pump" is less sensitive to air bubbles
 - bubbles are flushed out on every drop ejection cycle
- These ideas (and a coffee percolator) led directly to HP's invention of TIJ
- TIJ uses heat to make a tiny, fast pump from a bubble of ink vapor
- TIJ: no moving parts but the ink itself for a system that is simple, reliable, & fast







+1 us

pre-ejection

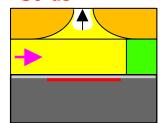
vapor bubble expanding

vapor bubble at full size

+5 us

TIJ & air bubbles

+50 us



nearly refilled

air bubbles flushed

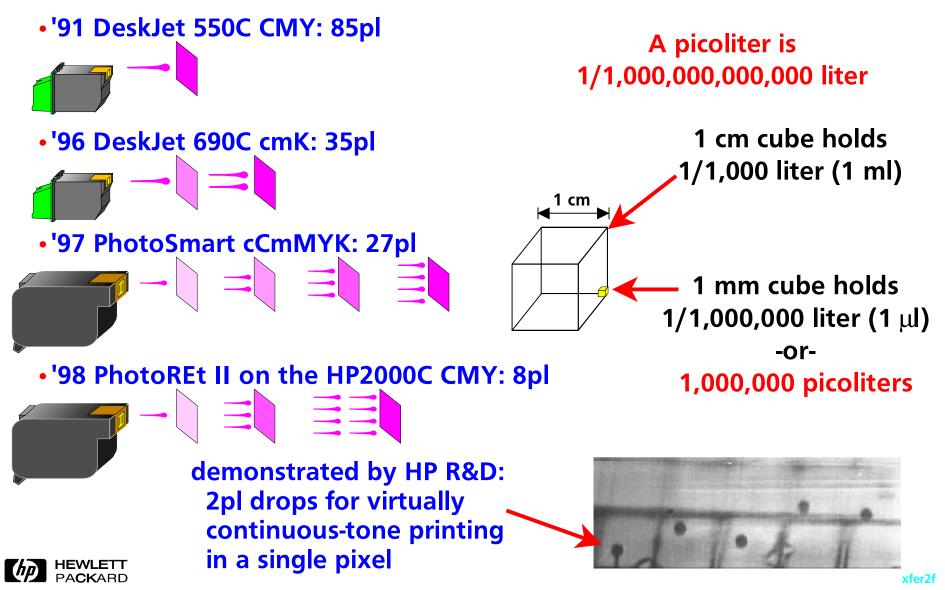
on every cycle

- the TIJ ejection process is very energetic: the vapor bubble acts like a piston to drive ink and air bubbles out of the orifice
 - high velocities are created throughout the entire drop generator chamber
- ✓ no ink-wasting flush cycles are required
- ✓ reliable drop ejection

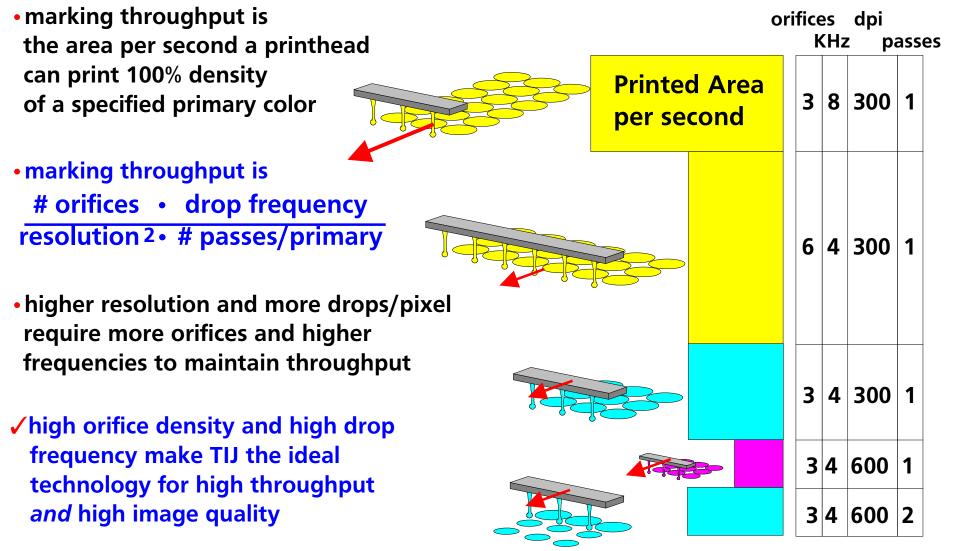
• TIJ is the only drop-on-demand ink jet technology to provide the high-displacement energy source close to the orifice needed to flush air bubbles on every drop ejection cycle



smaller drops: a key enabler for photographic image quality

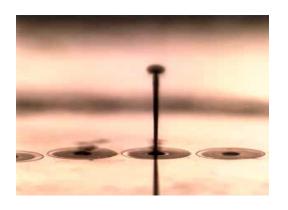


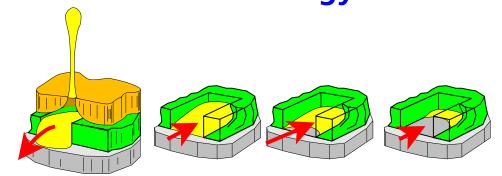
marking throughput

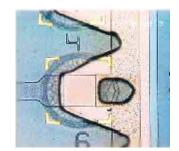




HP's technology base



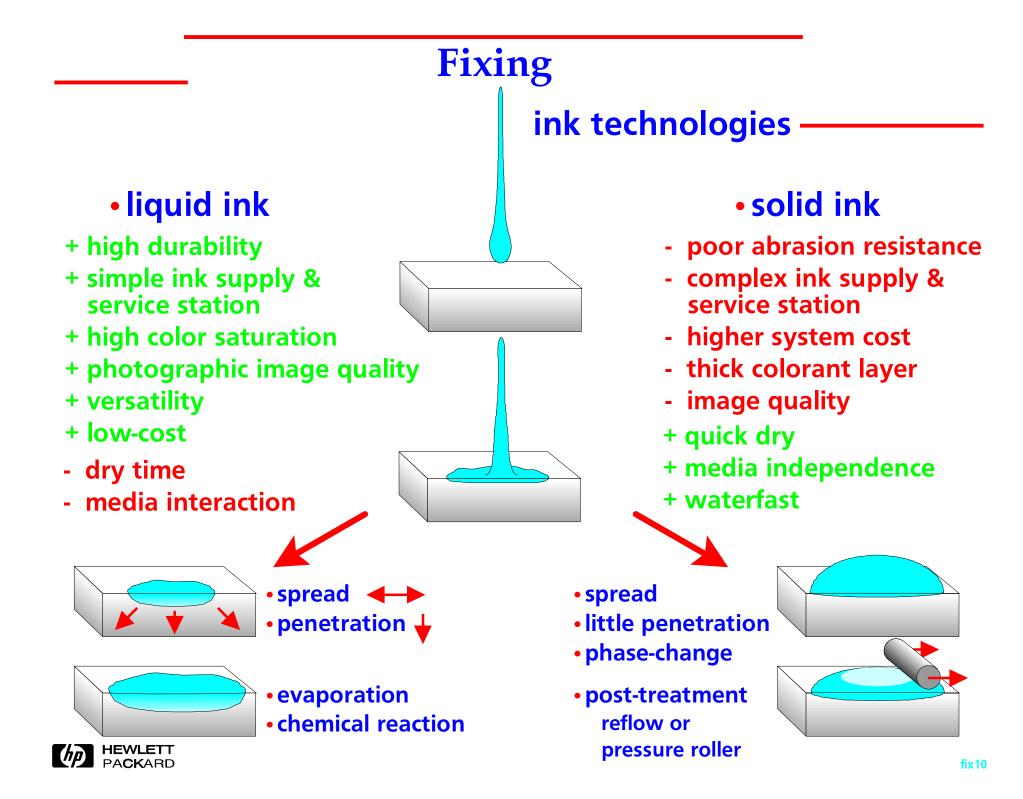


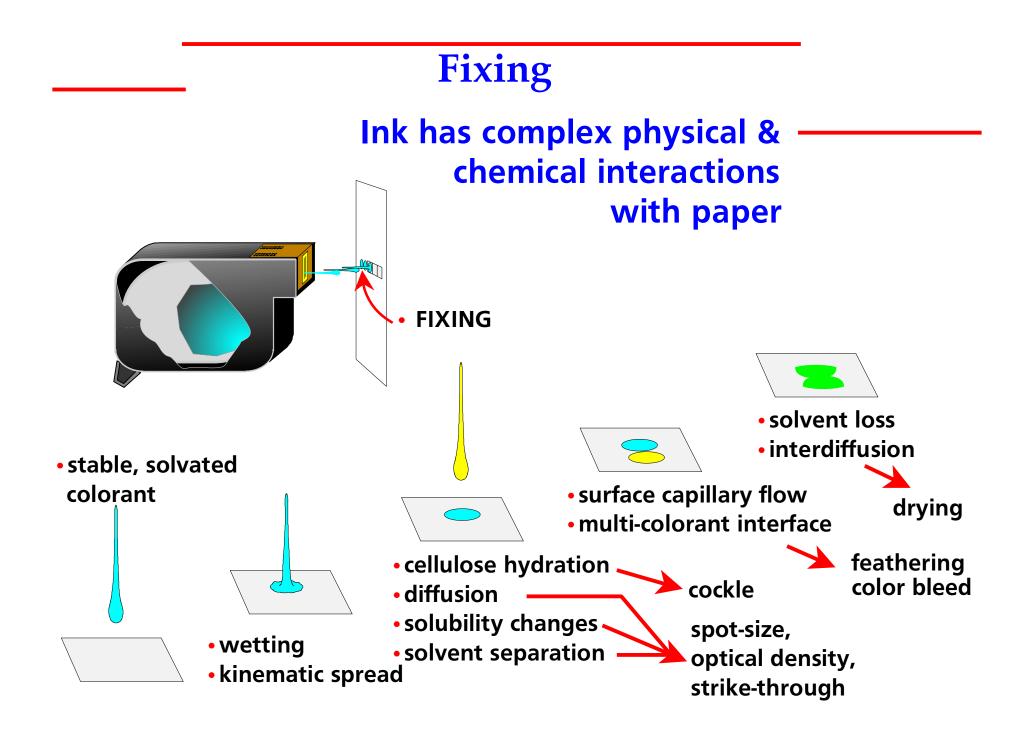




- a two decade investment in analytical & experimental studies of bubble formation & drop ejection
- a fundamental understanding of the relationship between TIJ design & printing performance
- the most advanced fluidic architectures for drop-on-demand ink jet
- a scientific & engineering base to support evolving performance requirements in digital imaging





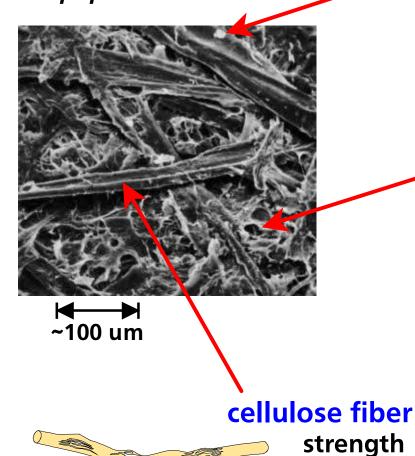




Fixing

paper is a complex chemical system

microscopic view of paper surface



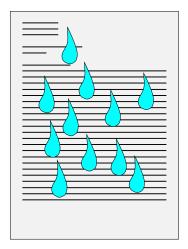
filler (acidic) clay, TiO₂, silica; (basic) CaCO₃ increases stiffness affects porosity absorbs water increases brightness & opacity

> sizing starches, PVA improves strength affects wettability holds colorant at surface improves smoothness

• HP analyzes over 300 papers worldwide to develop & test inks for plain-paper

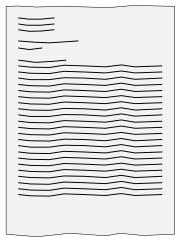
• HP develops special media & inks *as a system* for optimal imaging performance

Fixing

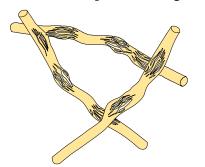


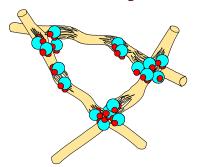
applying water-based inks to plain (uncoated) paper can produce wrinkles in the surface called "cockle."

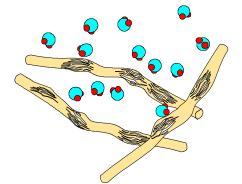
cockle



 cockle occurs as cellulose fibers swell and shift: hydrogen bonds within and between fibers are disrupted by water molecules





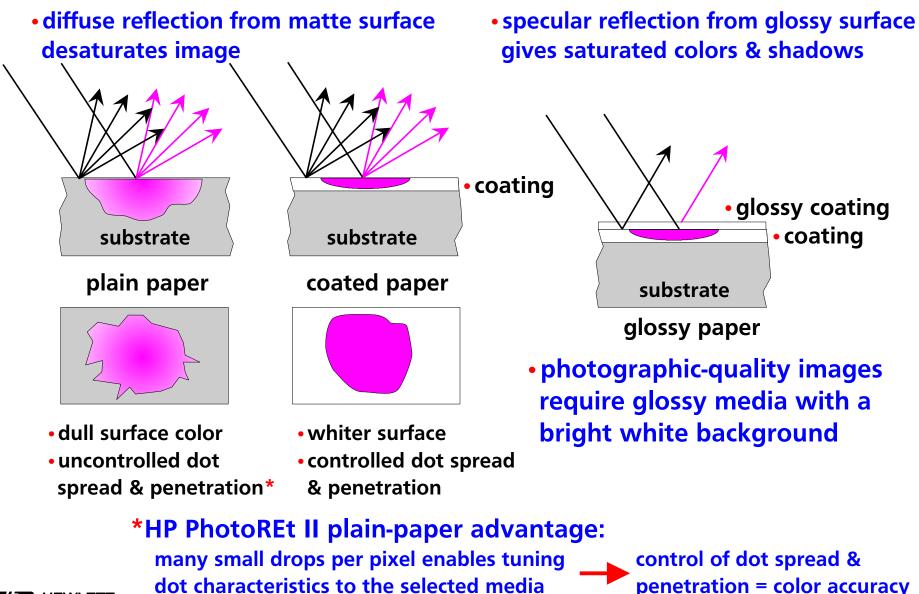


- cockle is suppressed with
 - multipass print modes
 - ✓ ink chemistry



Ink Jet Ink & Media

plain & special media





media1a

Fixing

objectives in TIJ ink design



• Improve the fixing mechanism for better, more consistent quality

- ✓ eliminate paper cockle
- eliminate color bleed
- ✓ achieve consistent spot-size & edge sharpness
- ✓ maximize water- & lightfastness
- ✓ improve color gamut & optical density
- ✓ produce best rendering of neutral tones

Extend the limits of water-based ink technology

- develop inks with advanced molecular structures allowing use of water-insoluable colorants
- ✓ water is a safe solvent for use world-wide
 - Volatile organic solvents are subject to environmental health & safety regulations in the home & office

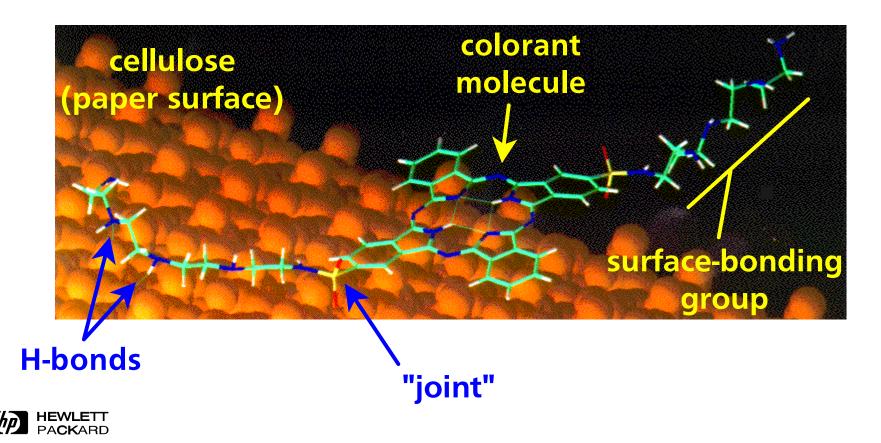






advanced ink research

Computer simulations observe behavior of prototype molecules at the paper surface



600 dpi Print Cartridge



a versatile platform for desktop & large format printing

> The 600 dpi cartridge for the DeskJet 700/800-series & DesignJet 2000/3000-series sets new performance levels for throughput, quality, & user convenience

 orifices in plastic
 flexcircuit
 integrated logic
 & drivers

300 orifices

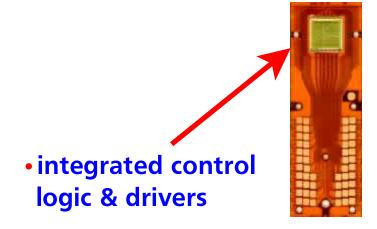
- 52 pads
 - 21pl (DesignJet) or 35pl (DeskJet)
- 🗸 12KHz
- pigment black ink
- / high-capacity ink supply (700/800)
- large capacity ink supply (2000/3000)
- automatic out-of-ink sensing



Color Print Cartridge



• The 3-color cartridge for the DeskJet 800-series & PhotoRET II printers sets the standard for performance & image quality with small drop halftone printing

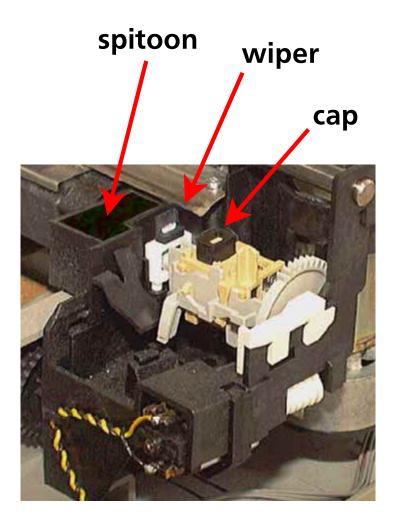


- 192 orifices: 64 C + 64 M + 64 Y
- 52 pads
- 32 pl @ 300 dpi (800-series)
- 10pl (PhotoRET II)
- 6KHz (800-series)
- / 12 KHz (PhotoRET II)
- **CMY** inks with large color gamut



Ink Jet Printing

printhead servicing



The service station provides functions essential to system reliability

- orifice capping reduce evaporation of volatile components minimize crusting & viscous plugs
- orifice plate wiping remove paper dust & ink spray
- drop ejection

purge gases & allow a "wet wipe" refresh ink for consistent physical properties verify operation, volume, energy calibration

waste ink disposal



Print Quality

HP develops printers, ink, & media together as a complete printing system solution



Photographic Image Quality



a proposal

Photographic image quality is achieved in a digital imaging system when...

image quality attributes are measured considering characteristics of human visual response:

objective measures of the eye as an optical instrument *subjective measures* of what is pleasing to the viewer employ traditional measures of image quality: tone reproduction, color balance, sharpness & detail, graininess

✓ for the intended application, measured qualities of the digital image meet or exceed those for a color photographic print from a negative e.g., compare with 35mm photography on prints up to 8" X 10" e.g., recognize that retail photofinishing falls short of optimal quality

the digital imaging process introduces no objectional artifacts banding, color misregistration, etc.

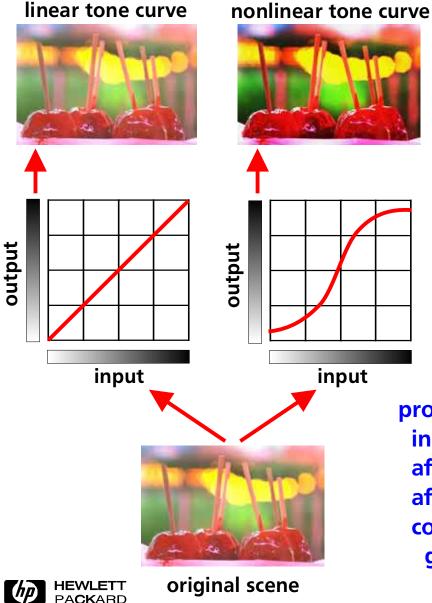
✓ You either can't tell the difference or prefer the digital print

measurements never completely specify the quality of subjective experience

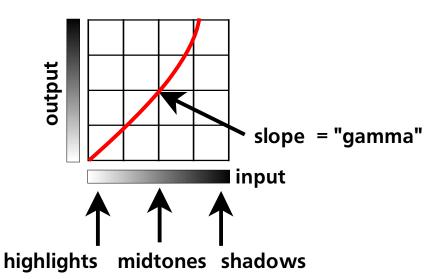


Image Quality

traditional criteria for image reproduction



tone reproduction



proper tone reproduction is essential input-output relation for light intensity affects image contrast ("dull/misty" vs. "gaudy") affects highlight & shadow detail compensates for viewing environment: gamma = 1 (reflection print) = 1.25 - 1.5 (projection films)

Image Quality

traditional criteria for image reproduction

undersaturated



too cyan





too blue

too green



too red

too yellow



color balance & pleasing reproduction

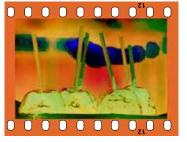
correct color balance: no objectionable color casts correct white-point adequate color saturation memory colors: faithful reproduction pleasing enhancement



oversaturated

Image Quality

traditional criteria for image reproduction



 Resolving Power: lines/mm RMS Granularity



unsharp



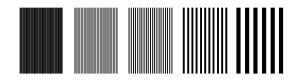
EWLETT

PACKARD



sharpness & detail

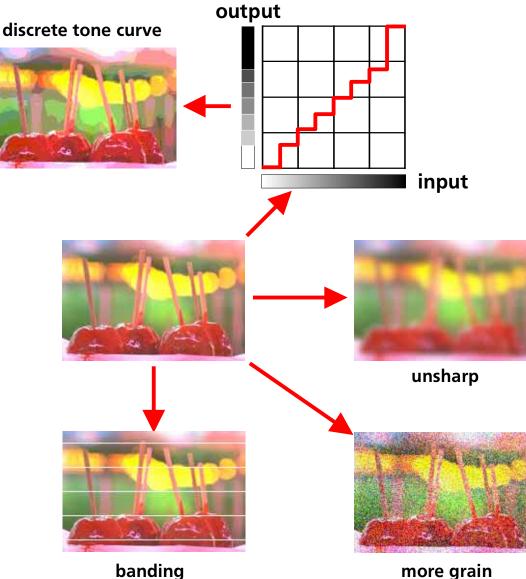
edge contrast preserved fine image features preserved most sharpness & detail in gray channel requires accurate color-plane registration measure: MTF (lines/mm) at normal viewing distance



graininess

undesirable image "noise" depends on: physical size & distribution of pixel-forming marks magnification of recording medium optical density of measured region

digital image reproduction



tone reproduction

discrete output states color & B/W tone breaks highlight & shadow details

• sharpness & detail

dot edge sharpness dot placement accuracy black printer for gray channel

color balance &

pleasing reproduction

addressible colors & gamut colorants:

selective absorption saturation (low gray-content)

• print engine artifacts (technology-specific)

graininess

dot size & optical density pixel resolution halftone levels dither patterns



ink jet digital imaging

tone reproduction

preserve highlight & shadow detail...

 small dots producing many directly-printable neutral levels

color & neutral tone breaks minimized with...

- ✓ 10's of directly printable colors (C-REt & PhotoREt)
- ✓ >250 directly printable colors (PhotoREt II)
- sophisticated halftoning algorithms

sharpness & detail

- precisely controlled dot size
- TIJ inks producing high edge sharpness
- separate black printer for high edge sharpness
- printhead & mechanism designed for accurate dot placement

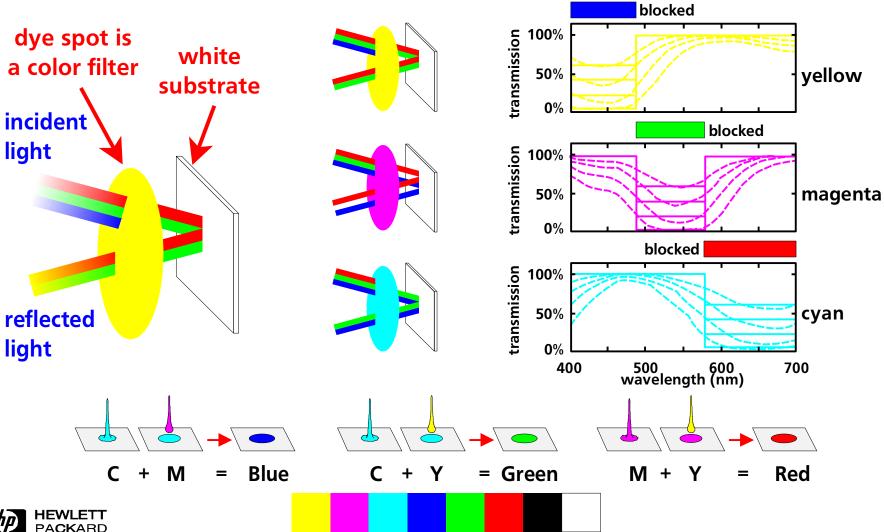




Basic Color Science

subtractive primaries work by absorbing red, green, or blue wavelengths of incident light

block dyes & real dyes @ several concentrations

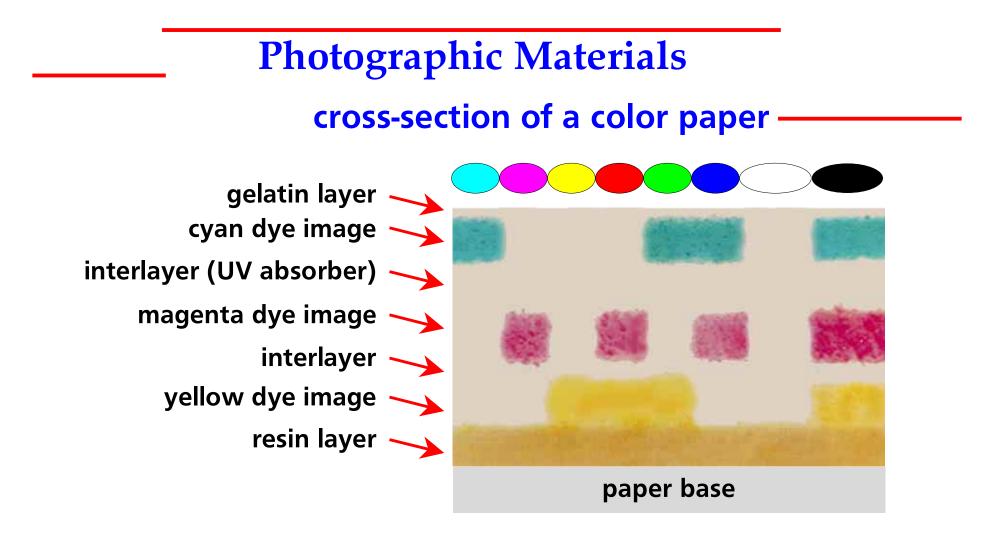


Basic Color Science color gamuts gamut of the block dyes chromaticity diagram: a coordinate space for describing colors .5 color gamut: the PURPLISH ognut of reactors range over a color it color space that a printing .3 (or display) technology can reproduce .2 C.I.E. 1976 U.C.S. gamut of the NTSC .1 television standard PURPLISH BLU

highly saturated colors outside the tri-color gamut cannot be printed



gamut1



- color-coupling chemistry forms cyan, magenta, & yellow dyes
- a subtractive, 3-color process: black = C + M + Y
- color dyes selected for color gamut AND neutral tones
- deeper layers have less sharpness due to interlayer scattering



Digital Image Quality ink jet digital imaging

color balance & pleasing reproduction

highly selective light absorption...

- ✓ TIJ dyes can be "pure" colors
- Iow gray content gives high color saturation
- advanced black-generation algorithms (in HP's RealLife Imaging System)

addressible colors & gamut

- TIJ inks can achieve better saturation & color gamut than photographic dyes
- TIJ uses 4 or 6 primary colors vs. 3 in photography
- dot optical density is controlled with dye load color fidelity

white-point correction & accurate memory colors

excess gray content



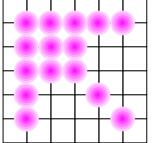


saturated

ink jet digital imaging

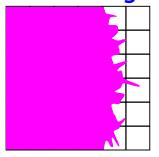
print engine artifacts

low dot density



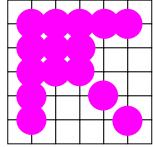
gray text & washed-out color

poor shape, feathering

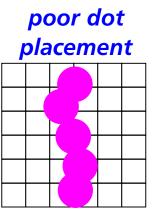


ragged edges

dots too small

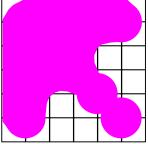


open lines & area fills

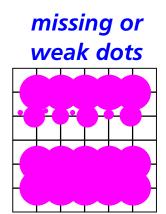


uneven edges & lines

dots too big

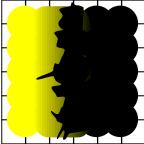


blooming & cockle

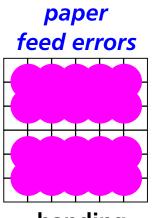


banding





poor color/ ragged edges





Insuring Image Quality

multipass printing hides artifacts

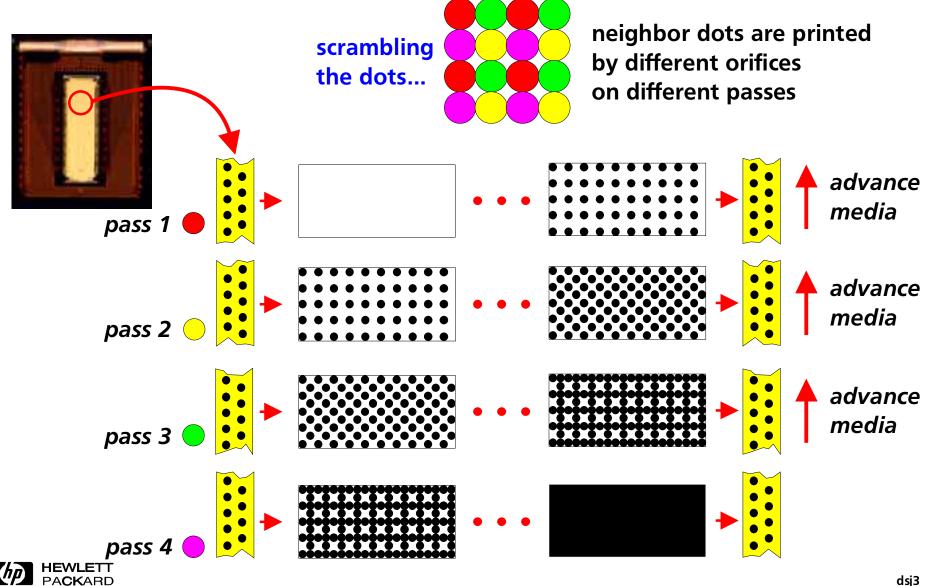
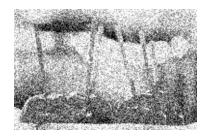


Image Quality

• graininess

image "noise" particularly visible in regions of uniform reflectance where printing process artifacts are not hidden by image detail



in music reproduction, this is similar to electronic process noise ("hiss") heard during quiet passages

- *Granularity* is a *measure* of visible variations in image reflectance produced by the printing process
- Granularity correlates closely with the subjective quality of graininess

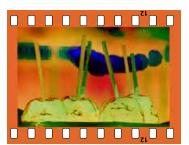


Granularity

graininess in photographic images

The Kodak Granularity Scale:

- a subjective measure based on psychophysical studies
- measured for film exposed & developed to a mean optical density of 1.0 (i.e., 10% reflectance)
- based on 12X enlargement of negative emulsions: photographic graininess increases with enlargement



with ISO < 400

 Used as a standard for grading photographic emulsions for nearly 50 years

		6,004	C. S.
			a val
Con			
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off-scale >60 45 - 55 Very coarse 33 - 42 Coarse 26 - 30 **Moderately coarse** Medium 21 - 24 16 - 20 Fine 11 - 15 Very fine 6 - 10 **Extremely fine** most color negative films <5 **Microfine**



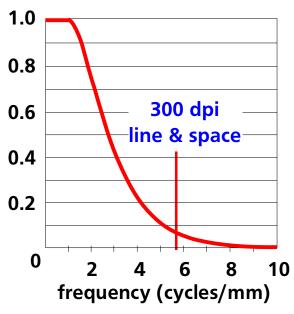
Granularity

graininess in digital images

 In a digital imaging system, granularity relates image quality to the printing resolution ("dpi")

the number of halftone levels the method of error diffusion ("dither") the reflectance of ink used at each halftone level

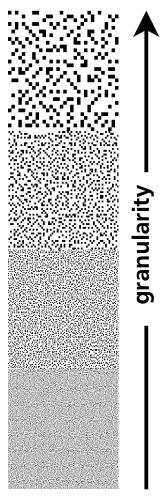
Human Visual Response



The human eye is less sensitive to high spatial frequencies

Dither patterns containing more high spatial frequencies make halftones appear *less* grainy



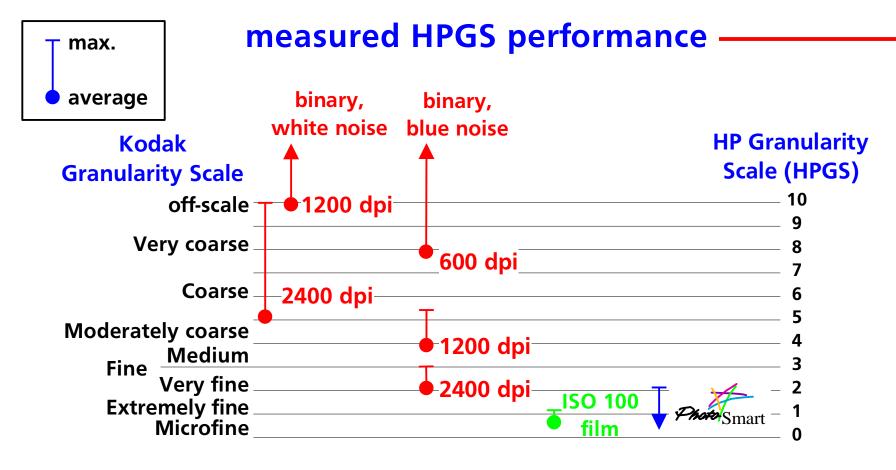


15% gray

the difference between patches is how the dots are grouped



Granularity



doubling "dpi" reduces granularity by half in a binary printer

- how dots are arranged can be more important to granularity than "dpi"
- ✓ HP's PhotoSmart printer creates halftones with very small dots in 300 dpi pixels
- halftone dots at 300 dpi achieve photo-quality granularity
- these examples prove that there is more to image quality than "dpi"



Photographic Quality

appearance & performance

A digital print has to look like a photograph...

- Accurate tone reproduction
- Sharpness & detail
- Pleasing color reproduction
- No visible printer artifacts
- No objectionable graininess

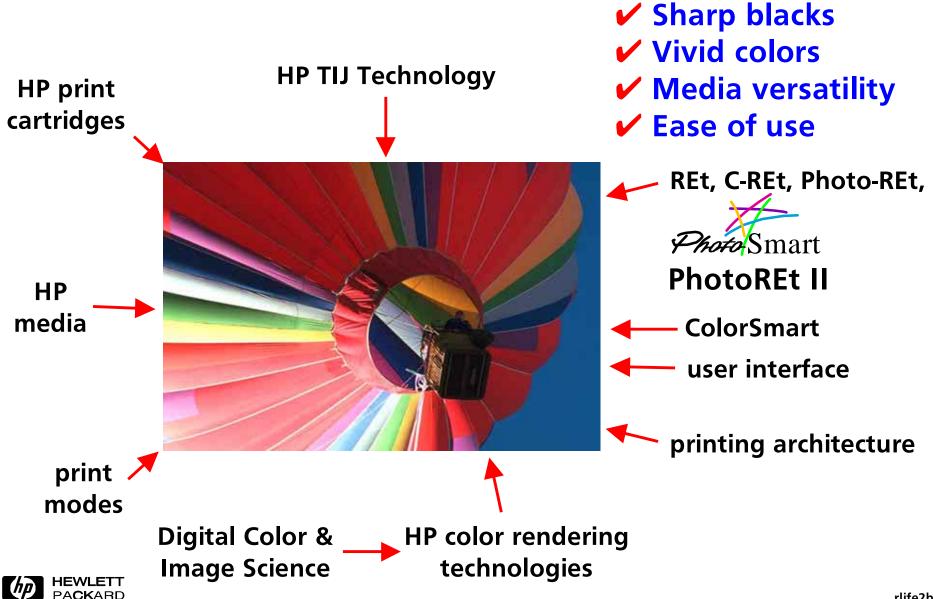


A digital print has to act like a photograph...

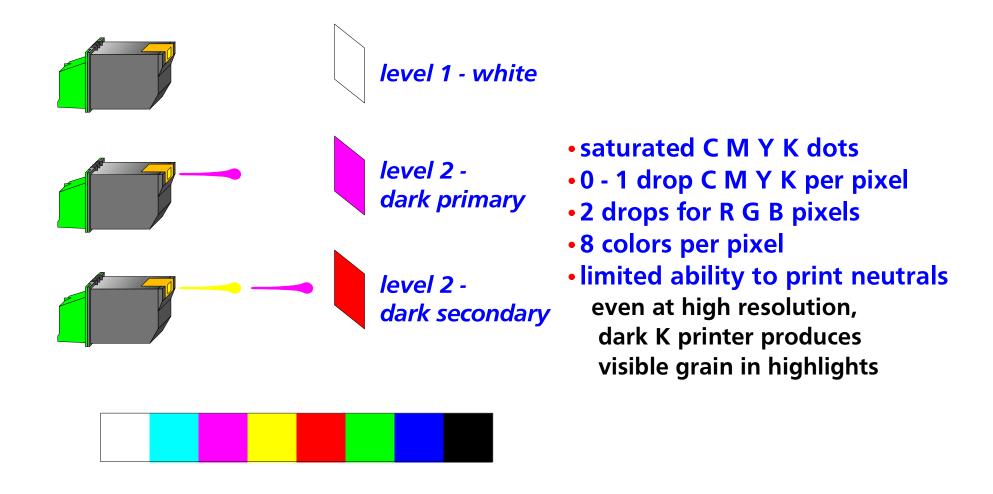
- Uniform surface gloss & physical texture
- Waterfast & smudge-proof
- Lightfast
- Pleasing texture, weight, & feel of the substrate ("hand")
- Sleevable & stackable



HP's RealLife Imaging System



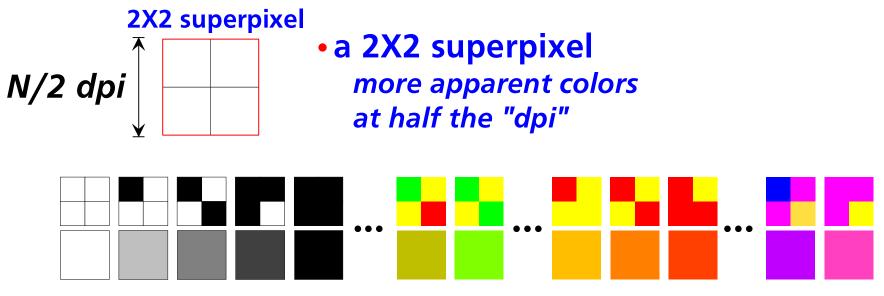
binary printing







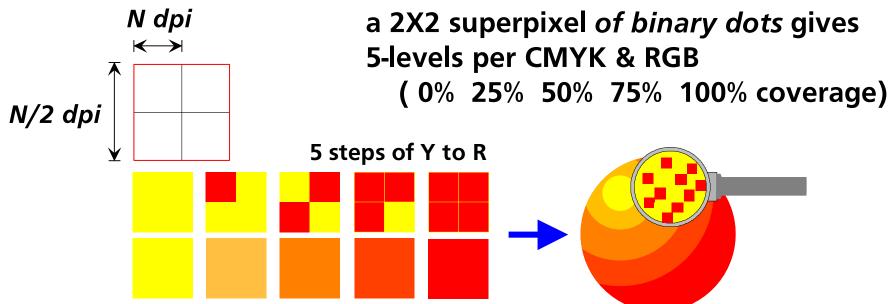
Pixels are combined into groups called *superpixels* to render more than 8 colors





superpixels

• 2X2 superpixel colors



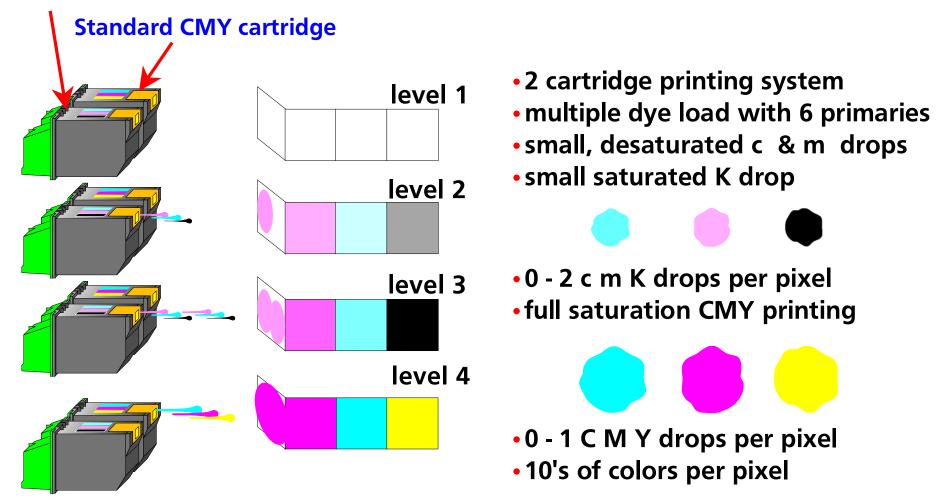
 over a field of pixels, a halftone dither (with error diffusion) renders colors that cannot be printed directly



Photographic Image Quality

HP PhotoREt

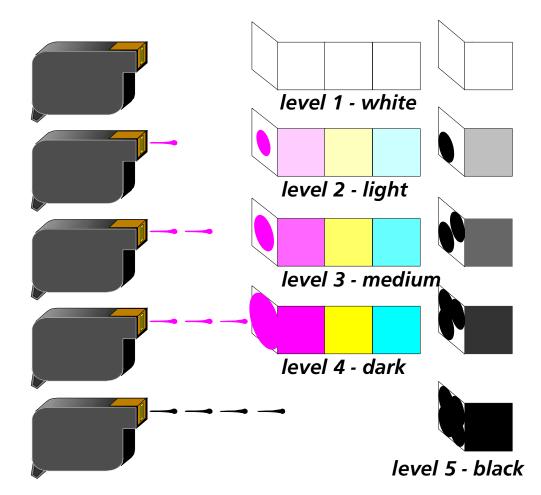
c m K Photo cartridge





Achieving Higher Image Quality

HP Color Resolution Enhancement technology

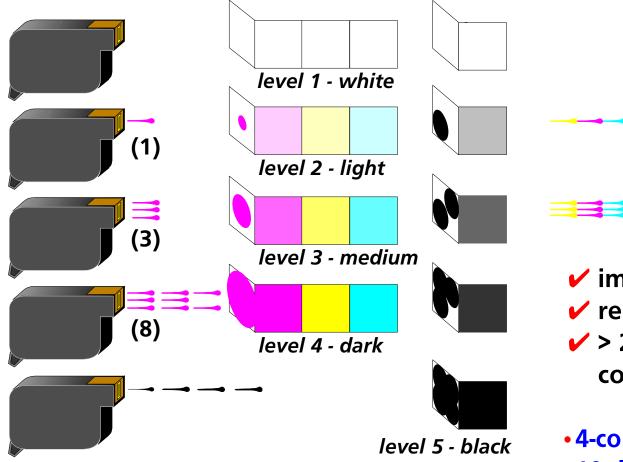


- implemented in the HP DeskJet 85X, 820, & 870
- 4 color / 2 cartridge printing system
- small, saturated CMY drops
- •0 3 CMY drops per pixel
- small, saturated K drop
- •0 4 K drops per pixel
- 10's of colors per pixel



Achieving Higher Image Quality

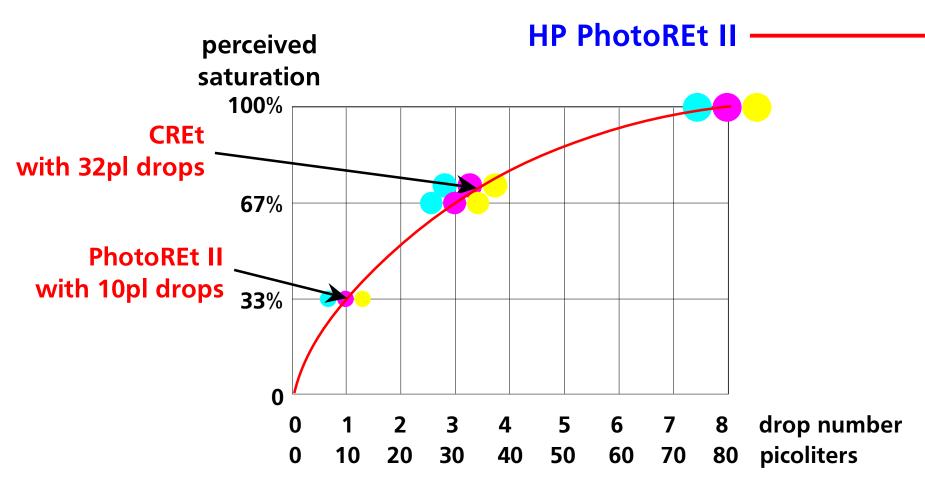
PhotoREt II on the HP DeskJet 720C



✓ improved neutral tones
 ✓ reduced highlight grain
 ✓ > 250 directly printable colors/pixel

- 4-color printing system
- •10pl CMY & 35pl K
- •0, 1, 3, or 8 CMY drops/pixel for up to 16 total drops
- •0 4 black drops/pixel

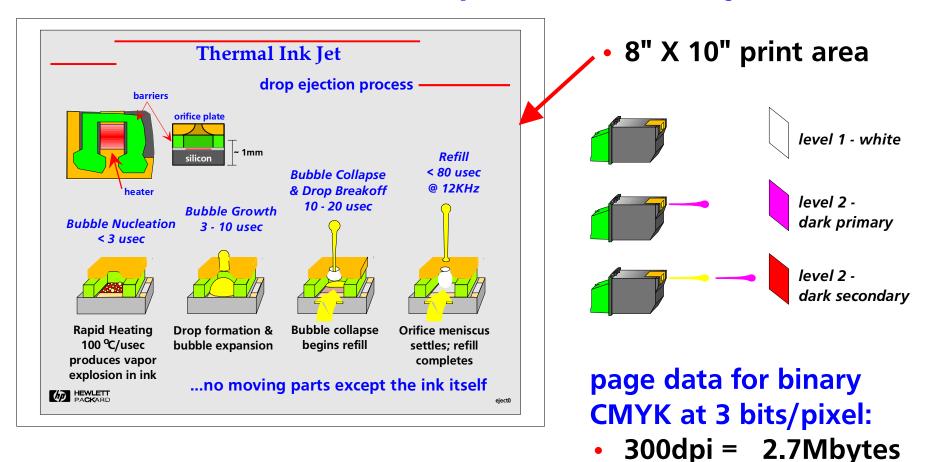
Achieving Higher Image Quality



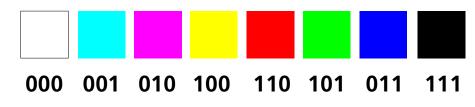
- 10pl drops improve highlights: lighter tones & less-visible smallest dot
- •1-3-8 drops: equal steps in L* for C, M, & Y for more colors per pixel
- 2-bits/color gives high image quality & high throughput
- offers flexibility to choose other image quality/throughput tradeoffs with more bits/color/pixel



dpi & addressibility



a 3-bit binary coding scheme for 8 colors



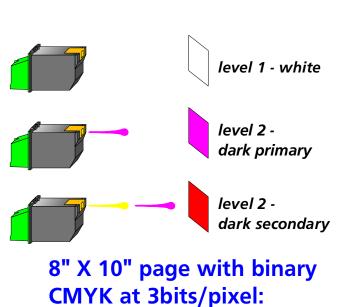


600dpi = 10.8Mbytes

• 1200dpi = 43.2Mbytes

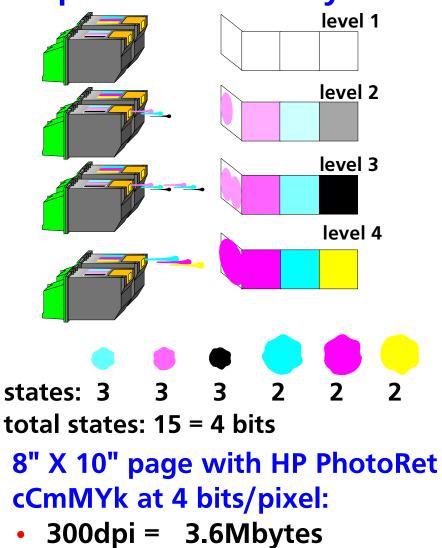
• 1440dpi = 62.2Mbytes

dpi & addressibility

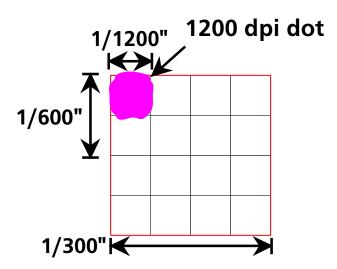


- 300dpi = 2.7Mbytes
- 600dpi = 10.8Mbytes
- 1200dpi = 43.2Mbytes
- 1440dpi = 62.2Mbytes

HEWLETT PACKARD



HP's PhotoREt offers significantly higher image quality with a minor impact on data processing & throughput



dpi & addressibility

Q: A printer places 1200 dpi dots at 1/1200" locations on a page.

What's the printer's "dpi"?

- (a) 300 dpi (d) it depends...
- (b) 600 dpi (e) wrong question!
- (c) 1200 dpi

(ə) si rəwarA

"dpi" is often used to specify:

- pixels/inch in a binary printer
- the size of an isolated dot made from a single drop of ink
- the resolution of the printer's scan & paper axis encoders

"dpi" specifications can be misleading because

- dot size & encoder resolution may not be matched for binary printing
- multiple drops overlap and combine nonlinearly in halftone pixels
- positioning resolution IS NOT the number of pixels/inch actually delivered
- positioning resolution DOES NOT specify the number of printable colors

pixels/inch & printable colors/pixel are related to image quality

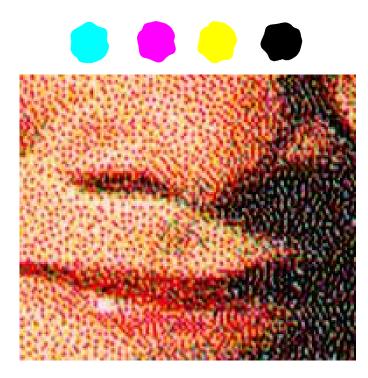
- the relationship to image quality is still very complex
- but, there is no direct relationship between dpi & image quality in halftone printing

(see next slide for proof!)



the effect of HP's halftone pixels

Both images were printed at the same resolution. Halftone pixels break the link between print quality and "dpi."



4-color binary printing

- 1-drop CMYK 2-drop RGB
- 8 colors per pixel
- visible image grain



6-color halftone printing

- multiple drops per pixel
- 10's to 1000's of colors per pixel
- smooth color transitions
- low image grain



ColorSmart II

analyze document in the rasterization process

Color Made Easy

Provided with every HP color printer, ColorSmart analyzes documents to identify images, text, and graphics and then adjusts color settings to produce optimal results. ColorSmart uses a variety of image processing tools to create exceptional color output, including proprietary halftone algorithms and color transformations to map screen colors into vivid printed colors. best settings for images best settings for text best settings for business graphics HEWLETT PACKARD

improved automatic optimal color processing

ColorSmart II builds on ColorSmart's capabilities with

- optimization of images printed from the Internet & multimedia
- consistent color with industry-standard sRGB support
- utilization of Intel MMX technology for 2X improvement in rendering throughput

for each image type, rasterize with optimal

halftone method color matching color mapping

elements of photographic quality

- precision media advance
- small dots
- large number of printable colors per pixel
- optimized halftoning & error diffusion
 - minimizes image granularity
 - matched to media
- optimized multipass print modes
 - high photo-quality throughput
- inks, media, and printer designed together as a complete imaging solution







HP's Design Objective

choose the best combination to maximize customer value





media







transfer

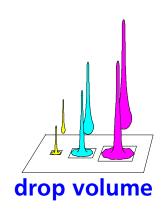
Print & Image Quality Throughput Reliability Media Independence

Product Cost

Cost per Page



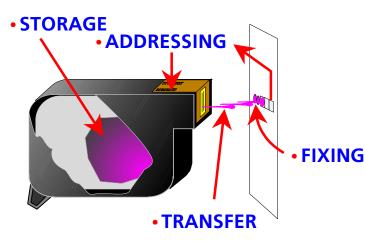
image processing



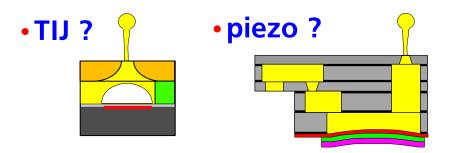
ink colors, color density, dyes & pigments

reso	lι	l.	ti	0	n	

Ink Jet Printing



So,... which is better?



Transfer* is only one element of the printing process.

What is important to the user is *balanced performance* and the value delivered by the complete printing system:

- image quality & text quality
- real throughput
- reliability
- flexibility (print text & images)
- media flexibility (plain & special paper)
- ease of use & connectivity
- initial cost of purchase
- cost of supplies

* HP believes that TIJ provides the superior transfer technology as part of a complete system solution





Fun Facts about TIJ

A useful vapor bubble requires heating at 100,000,000 °C/second

